

part of eex group



Application for approval as a Trading Participant in EEX Asia Financial Futures and Options

Required in single copy

08.04.2020

Leipzig

Ref. TP_EEXA_01

Release 005

- only required as scan -

Preliminary remark

With this form you are applying for approval as a Trading Participant in financial EEX Asia Pte Ltd. Futures and Options at European Commodity Clearing AG (ECC) in accordance with the Clearing Conditions of ECC.

Approval as a Trading Participant requires

- (a) participation in clearing as a Clearing Member or Non-Clearing Member for the market, where the respective product is being traded,
- (b) admission as Trading Participant at the market or a comparable and by ECC as equivalent acknowledged access to the market, where the respective product is being traded.

Approval involves the following steps:

1. Please fill in the application form for approval as a Trading Participant and sign it in a legally binding manner.
2. Send this application in scanned version to the following E-Mail address:

MemberReadiness@ecc.de
Phone: +49 341 24680 261

3. ECC shall decide on the application regarding approval as a Trading Participant and it shall inform the applicant and the EEX Asia Pte Ltd. (EEX Asia) thereof.

Application

We hereby apply for approval as a Trading Participant in the following EEX Asia Financial Futures and Options according to the Clearing Conditions of ECC:

- ☐ EEX Asia Financial Freight Futures & Options (USD)
- ☐ EEX Asia Iron Ore Futures & Options (USD)

The legal basis for approval as a Trading Participant is established in

- this application,
- the Clearing Conditions of ECC,
- the Clearing Agreement (Clearing Member) or NCM Agreement (Non-Clearing Member) and
- the price list of ECC.

By signing this application we confirm that we are familiar with the above mentioned rules and regulations and that we acknowledge and observe these. The respective current version of said documents can be downloaded from the ECC website at www.ecc.de.

Applicant

Member-ID: *

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="E"/>	<input type="text" value="X"/>
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* Need to get filled only in case you are already Member at ECC. NEW members can leave it blank.

Name of the Company and Company Register	
Name of the Company according to excerpt from company register	Legal status
Tax number	Sales tax identification number

VAT Details

VAT details are only required if a classification for the purpose of VAT treatment hasn't taken place previously or these classifications are not relevant for the respective products. We have attached the form TP07 "VAT Details" with this application if applicable.

Declarations by the Trading Participant

1. We hereby assure that we will acknowledge and observe the rules and regulations of ECC (in particular the Clearing Conditions) and the rules and regulations of EEX Asia Pte Ltd. (EEX Asia) in their respectively valid versions.
2. This agreement shall expire as soon as ECC receives and approves the cancellation. The agreement can only be cancelled if there are no open positions.

DATA PROTECTION DECLARATION

The undersigned assures that he has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he has brought to their attention the DATA PROTECTION DECLARATION which can be found on our company websites.
On behalf of the Trading Participant:

Place	Date	Company stamp and legally binding signature(s) Signature(s): Name(s):
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